# IN THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF ILLINOIS

JARREL DISMUKES, # M41864,

Plaintiff.

vs.

Case No. 18-cv-1060-DRH

JOHN BALDWIN, S.A. GODINEZ, GLADYSE C. TAYLOR, MICHAEL P. RANDLE, ROBERT MUELLER, SUSAN WALKER, PATTY THULL, and JOHN/JANE DOE,

Defendants.

#### **MEMORANDUM AND ORDER**

## **HERNDON**, District Judge:

Plaintiff, currently incarcerated at Centralia Correctional Center, has brought this *pro se* civil rights action pursuant to 42 U.S.C. § 1983. He claims that Defendants knowingly served beverages which were contaminated with unsafe levels of benzene with deliberate indifference to the risks to inmates' health. Plaintiff alleges that as a result, he has developed GERD and other physical symptoms. Plaintiff has pointed out that the claims raised herein are largely duplicative of those raised in a 2017 case by another Centralia prisoner-plaintiff, *Trainor v. Baldwin*, Case No. 17-cv-369-DRH-DGW. (Doc. 3).

This case is now before the Court for a preliminary review of the Complaint pursuant to 28 U.S.C. § 1915A. Under § 1915A, the Court is required to screen

prisoner complaints to filter out non-meritorious claims. *See* 28 U.S.C. § 1915A(a). The Court must dismiss any portion of the complaint that is legally frivolous, malicious, fails to state a claim upon which relief may be granted, or asks for money damages from a defendant who by law is immune from such relief. 28 U.S.C. § 1915A(b).

An action or claim is frivolous if "it lacks an arguable basis either in law or in fact." *Neitzke v. Williams*, 490 U.S. 319, 325 (1989). Frivolousness is an objective standard that refers to a claim that "no reasonable person could suppose to have any merit." *Lee v. Clinton*, 209 F.3d 1025, 1026-27 (7th Cir. 2000). An action fails to state a claim upon which relief can be granted if it does not plead "enough facts to state a claim to relief that is plausible on its face." *Bell Atlantic Corp. v. Twombly*, 550 U.S. 544, 570 (2007). The claim of entitlement to relief must cross "the line between possibility and plausibility." *Id.* at 557. Conversely, a complaint is plausible on its face "when the plaintiff pleads factual content that allows the court to draw the reasonable inference that the defendant is liable for the misconduct alleged." *Ashcroft v. Iqbal*, 556 U.S. 662, 678 (2009).

Applying these standards, the Court finds that some of Plaintiff's claims survive threshold review under § 1915A.

# The Complaint

Plaintiff brings his claims against current Director of the Illinois Department of Corrections ("IDOC") Baldwin, as well as former IDOC Directors Godinez, Randle, and Taylor. (Doc. 1, pp. 1-2). Other Defendants include

Centralia Warden Mueller, Grievance Officer Walker, Administrative Review Board official Thull, and a number of John/Jane Does. This group of Unknown Defendants encompasses Superintendents of the Illinois Correctional Industry ("ICI") who distributed contaminated juices to IDOC prisons and dietary managers who served the juices. (Doc. 1, p. 3).

According to Plaintiff, in 1991 the FDA reported that benzene had been found in products that contained sodium benzoate along with ascorbic acid, citric acid, or erthoribic acid. This combination of ingredients can produce benzene, so the FDA "called on manufacturers to take measures to impede the formation of benzene in their products." *Id.* The Environmental Protection Agency reported that 5 parts per billion was the maximum acceptable level of benzene in drinking water. *Id.* Further, people exposed to higher levels of benzene, even for short periods of time, may develop anemia, nervous system disorders, and immune system depression. *Id.* A published CDC report states that exposure to benzene may cause dizziness, rapid or irregular heartbeat, vomiting, convulsions, sleepiness, and stomach irritation. (Doc. 1, pp. 5, 13).

In 2008, union members working within the IDOC raised concern about benzene in drink products served to IDOC employees. (Doc. 1, p. 3). This concern was never investigated by Randle, Godinez, Baldwin, Mueller, or the Illinois Correctional Industry John/Jane Doe defendants. The Dietary Manager John/Jane Doe defendants continued to use the combination of ingredients listed above in beverages served to IDOC inmates. (Doc. 1, pp. 3-4).

Plaintiff consumed the allegedly contaminated juices during his confinement. (Doc. 1, p. 4). In fact, he "worked in the Dietary for over a year and consumed 10-15 juices per day during that time." (Doc. 1, p. 5). He developed GERD and experienced "several symptoms related to the combination [of] ingredients that formed benzene in the drinks." (Doc. 1, p. 4). When Plaintiff learned about the risks from excessive consumption of benzene, he filed a grievance about the issue, seeking an investigation. Walker (grievance officer) denied the request, and Warden Mueller affirmed that denial. (Doc. 1, pp. 4-5). Plaintiff appealed the grievance to Thull, who also denied it, noting that the prison properly addressed his issue. (Doc. 1, p. 5). At some unspecified time, for about 4-5 months, the prison stopped serving the juices containing the benzene-producing ingredients, but then began to serve them to inmates again. (Doc. 1, p. 4).

Plaintiff claims that Baldwin, Godinez, Taylor, Randle, and all John/Jane Does "knew about the concerns of the juices since 1998 and the formation of benzoate and ascorbic acid forming benzene, yet they failed to ensure that these products did not contain these ingredients." (Doc. 1, p. 6). The John/Jane Does deliberately manufactured and distributed the juice products to prisoners, despite knowing that they "would tend to contain unsafe levels, placing prisoners at risk." *Id.* Baldwin, Godinez, Taylor, and Randle did nothing to address the health risks, of which they were aware. *Id.* Plaintiff was involuntarily exposed to benzene in the juices served with prison meals, without any warning of the hazard. *Id.* The

juice consumption created an unreasonable risk to his health. *Id.* He alleges that benzene can cause cancer. (Doc. 1, p. 7).

Plaintiff asserts that Defendants' actions violated the Eighth Amendment. He seeks declaratory relief, as well as compensatory and punitive damages. (Doc. 1, p. 8). He also requests that Defendants discontinue manufacturing the juices in question. *Id.* 

# Merits Review Pursuant to 28 U.S.C. § 1915A

Based on the allegations of the Complaint, the Court finds it convenient to divide the *pro se* action into the following counts. The parties and the Court will use these designations in all future pleadings and orders, unless otherwise directed by a judicial officer of this Court. The designation of these counts does not constitute an opinion as to their merit. Any other claim that is mentioned in the Complaint but not addressed in this Order should be considered dismissed without prejudice.

- **Count 1:** Eighth Amendment claim against the John/Jane Doe ICI Superintendents, for deliberate indifference to the present and future health risks to Plaintiff from consuming its juice drinks, which contain ingredients that may produce benzene;
- **Count 2:** Eighth Amendment claim against Baldwin, Godinez, Taylor, and Randle, for deliberate indifference to the present and future health risks to Plaintiff from consuming ICI-produced juice drinks, which contain ingredients that may produce benzene;
- **Count 3:** Eighth Amendment claim against Mueller and the John/Jane Doe Dietary Managers, for deliberate indifference to the present and future health risks to Plaintiff from consuming ICI-produced juice drinks, which contain ingredients that may produce benzene:

**Count 4:** Eighth Amendment claim against Walker and Thull, for deliberate indifference to the present and future health risks to Plaintiff from consuming ICI-produced juice drinks, which contain ingredients that may produce benzene.

Accepting Plaintiff's allegations as true, Counts 1, 2, and 3 shall proceed for further review. Plaintiff shall note that his claims against the John/Jane Doe Defendants cannot go forward until one or more of these Unknown Party Defendants is identified by name. Count 4 shall be dismissed for failure to state a claim upon which relief may be granted.

# **Eighth Amendment Deliberate Indifference Claims**

The Eighth Amendment prohibition on cruel and unusual punishment forbids unnecessary and wanton infliction of pain, and punishment grossly disproportionate to the severity of the crime. *Rhodes v. Chapman*, 452 U.S. 337, 346 (1981) (quoting *Gregg v. Georgia*, 428 U.S. 153, 173 (1976)). Two elements are required to establish a violation of the Eighth Amendment's cruel and unusual punishments clause with regards to any conditions of confinement in prison. First, an objective element requires a showing that the conditions deny the inmate "the minimal civilized measure of life's necessities," creating an excessive risk to the inmate's health or safety. *Farmer v. Brennan*, 511 U.S. 825, 834 (1994). The objective conditions must have resulted in an unquestioned and serious deprivation of basic human needs such as food, medical care, sanitation, or physical safety. *Rhodes*, 452 U.S. at 347.

The second requirement is a subjective element – establishing a defendant's culpable state of mind, which is deliberate indifference to a substantial risk of

serious harm to the inmate from those conditions. *Farmer*, 511 U.S. at 837, 842. The deliberate indifference standard is satisfied if the plaintiff shows that the prison official acted or failed to act despite the official's knowledge of a substantial risk of serious harm from the conditions. *Farmer*, 511 U.S. at 842. It is well-settled that mere negligence is not enough. *See, e.g., Davidson v. Cannon*, 474 U.S. 344, 347-48 (1986).

Occasional instances of food or water contamination in prison will not support an Eighth Amendment deliberate indifference claim. See, e.g., McRoy v. Aramark Correctional Servs., Inc., 268 F. App'x 479 (7th Cir. 2008) (no deliberate indifference where inmate was served undercooked chicken on one occasion, sour milk on six occasions, and spoiled sandwich meat on three occasions, where inmate was offered replacement items when available, and no further incidents occurred). Likewise, where the health risks from consuming allegedly tainted water are the same for prison inmates as for the general population, no Eighth Amendment claim is stated. See Carroll v. DeTella, 255 F.3d 470, 472 (7th Cir. 2001) (the Constitution does not require a confining institution "to provide a maximally safe environment, one completely free from pollution or safety hazards") (internal citation omitted).

However, where a prisoner's complaint indicates that prison officials were aware of a pattern of ongoing incidents where inmates were injured by contaminated food, yet did nothing to mitigate the risk, a viable deliberate indifference claim may be stated. *See Green v. Beth*, 663 F. App'x 471, 472 (7th

Cir. 2016) (district court should not have dismissed claims by plaintiff who described an "ongoing" problem of injuries suffered by inmates from biting into foreign objects in the food served in jail, and lack of action by defendants).

Here, Plaintiff alleges he suffered various symptoms after consuming the allegedly benzene-tainted juice, including GERD, and he believes these symptoms may have resulted from this exposure. He also notes that the long-term consumption of the juice containing the components that produce benzene may lead to future health problems, including a risk of cancer. These symptoms and potential future health risks arguably meet the objective requirement of an Eighth Amendment claim, at least at this early pleading stage.

Few cases have been brought alleging that unsafe levels of benzene may be found in drinks which contain sodium benzoate and ascorbic or other acids. *See, e.g., Gonzalez v. Pepsico, Inc., et al.*, 489 F. Supp. 2d 1233 (D. Kan. 2007) (denying defendants' motion to dismiss); *Lamond v. Pepsico, Inc., et al.*, Case No. CIV 06-3043, 2007 WL 1695401 (D.N.J. June 8, 2007). These have not resulted in any definitive rulings on the matter. Analogous claims, based on prisoners' unwitting consumption of drinks sweetened with saccharine (a possible carcinogen) have been rejected because no proof existed that the substance was detrimental to human health, and no present injury had been alleged. *Tripp v. Carter*, No. 99-C-3304, 1999 WL 966099, at \*2-3 (N.D. Ill. Oct. 13, 1999) (collecting cases). In Plaintiff's case, however, he alleges that there is documentation of actual harm and risks of harm to humans from ingesting

benzene, which may develop from the components in the prison juice products. He claims to have suffered physical symptoms after consuming the juice, and raises a claim for possible future harm due to long-term exposure. Furthermore, he claims that for a lengthy period, he had no opportunity to avoid the risk by choosing not to consume the products, because he had no knowledge of the risk. At this early stage of the case, therefore, it would be inappropriate to conclude that the ICI-produced juice products did not pose an objectively serious risk of harm to Plaintiff.

The remaining question is whether any of the Defendants had the requisite subjective knowledge of the risk to Plaintiff's and other inmates' health from these products. Awareness of such a risk is necessary to support a claim for deliberate indifference based on a Defendant's failure to take any action to mitigate the risk.

# Count 1 – John/Jane Doe ICI Superintendents

According to Plaintiff, the ICI superintendents have known about the danger from the possible formation of benzene when sodium benzoate and ascorbic acid are combined in products, since approximately 1998. He claims that despite this knowledge, they did not take remedial steps to ensure their products were not dangerous. Instead, they continued to manufacture and distribute these products to prisoners for many years. These allegations support an Eighth Amendment claim at this stage of the case.

Because Plaintiff has identified two distinct sub-groups of John/Jane Doe Defendants, for clarity, the John/Jane Doe ICI Superintendents shall be

designated as Unknown Party #1 (John/Jane Doe ICI Superintendents)<sup>1</sup> as this case goes forward.

**Count 1** survives review under § 1915A, and shall proceed against Unknown Party #1 (John/Jane Doe ICI Superintendents). The current ICI Superintendent shall be added as a party and service shall be directed to him/her under that title. However, Plaintiff must identify any former ICI Superintendents by name before the Complaint may be served on them.

#### Count 2 - IDOC Directors

Plaintiff seeks to hold current IDOC Director Baldwin, as well as former IDOC Directors Godinez, Taylor, and Randle, liable for deliberate indifference to a known risk of harm from benzene in the juice drinks served in IDOC prisons. He claims that Baldwin, Godinez, Taylor, and Randle knew about the risks posed by the possibility of benzene in the drinks, but did nothing to mitigate the risks.

Plaintiff has sufficiently alleged that Baldwin, Godinez, Taylor, and Randle knew about the potential danger to inmates from consuming juice containing chemicals that could produce benzene, yet took no action. At this early juncture, he has stated a claim against these Defendants and may proceed with **Count 2** against them.

## Count 3 - Warden Mueller and Dietary Managers

Plaintiff claims that Warden Mueller and the John/Jane Doe Dietary

<sup>&</sup>lt;sup>1</sup> To facilitate the orderly progress of this action going forward, the Clerk shall be directed to rename the Unknown Party Defendants as follows: Unknown Party #1 (John/Jane Doe ICI superintendents) and Unknown Party #2 (John/Jane Doe dietary managers). *See* FED. R. CIV. P. 21 ("the court may at any time, on just terms, add or drop a party").

Managers knew about the formation of benzene from ingredients found in the prison drink products since 1998, and were also informed of concerns about benzene in drink products when IDOC union employees raised the issue in 2008. In fact, they stopped serving the juice products at some point, but then began to use them again. Mueller allegedly reviewed and concurred with the denial of Plaintiff's grievance. Despite their alleged knowledge of the hazards of benzene, these Defendants did nothing to mitigate the risks to inmates from consuming the juice.

Giving liberal construction to the Complaint, Plaintiff has met the basic pleading requirements to state a claim against Mueller and the John/Jane Doe Dietary Managers at this early stage. Again, as noted above, in order to clarify the claims against each class of Unknown Defendants going forward, the Court shall designate the Doe party as Unknown Party #2 (John/Jane Doe Dietary Managers). See Fed. R. Civ. P. 21.

At this time, **Count 3** survives review under § 1915A.

#### Dismissal of Count 4 – Grievance Officials

Lastly, Plaintiff sues Walker (Grievance Officer) and Thull (ARB), both of whom reviewed and denied Plaintiff's grievance over the alleged dangers of the juices being served. However, the Complaint does not allege that Walker or Thull had any knowledge of the hazards of consuming benzene, or that either official had any involvement in the decisions regarding what beverages would be served in Centralia or other prisons. These Defendants' only role was to review and deny

# Plaintiff's grievance.

A defendant's action or inaction in handling a plaintiff's grievances does not support an independent constitutional claim. "[A] state's inmate grievance procedures do not give rise to a liberty interest protected by the Due Process Antonelli v. Sheahan, 81 F.3d 1422, 1430 (7th Cir. 1996). Clause." Constitution requires no procedure at all, and the failure of state prison officials to follow their own procedures does not, of itself, violate the Constitution. Maust v. Headley, 959 F.2d 644, 648 (7th Cir. 1992); Shango v. Jurich, 681 F.2d 1091, 1100-01 (7th Cir. 1982). The Seventh Circuit instructs that the alleged mishandling of grievances "by persons who otherwise did not cause or participate in the underlying conduct states no claim." Owens v. Hinsley, 635 F.3d 950, 953 (7th Cir. 2011). See also Grieveson v. Anderson, 538 F.3d 763, 772 n.3 (7th Cir. 2008); George v. Smith, 507 F.3d 605, 609 (7th Cir. 2007); Antonelli, 81 F.3d at 1430. In order to be held individually liable, "a defendant must be 'personally responsible for the deprivation of a constitutional right." Sanville v. McCaughtry, 266 F.3d 724, 740 (7th Cir. 2001) (quoting Chavez v. Ill. State Police, 251 F.3d 612, 651 (7th Cir. 2001)).

In this case, Plaintiff merely alleges that Walker and Thull rejected or denied his grievances – grievances that he filed to complain about the misconduct of other prison officials. This does not constitute personal involvement sufficient to sustain a deliberate indifference claim. Therefore, **Count 4** against Walker and Thull shall be dismissed with prejudice.

# **Identification of Unknown Defendants**

Plaintiff shall be allowed to proceed with Count 1 against Defendants Unknown Party #1 (John/Jane Doe ICI Superintendents). In this case, the current ICI Superintendent may be served by directing service to him/her under his/her official title, and the Clerk shall be directed to add the current ICI Superintendent as a party. *See* FED. R. CIV. P. 21. However, any previous ICI Superintendents must be identified with particularity before service of the Complaint can be made on them.

Count 3 also survives dismissal, but the Complaint does not provide sufficient information to determine which of the Unknown Party #2 (John/Jane Doe Dietary Managers) Defendants may be implicated in this claim. Accordingly, no service shall be ordered for this class of John/Jane Doe Defendants until Plaintiff identifies the individual Defendant(s) by name.

Where a prisoner's Complaint states specific allegations describing conduct of individual prison staff members sufficient to raise a constitutional claim, but the names of those defendants are not known, the prisoner should have the opportunity to engage in limited discovery to ascertain the identity of those defendants. *Rodriguez v. Plymouth Ambulance Serv.*, 577 F.3d 816, 832 (7th Cir. 2009). In this case, IDOC Director Baldwin is already named as a Defendant, and he shall be responsible for responding to discovery aimed at identifying these unknown defendants. As well, discovery may be directed to the current ICI Superintendent. Guidelines for discovery will be set by the United States

Magistrate Judge. Once the names of Defendants Unknown Party #1 (John/Jane Doe ICI Superintendents) and Unknown Party #2 (John/Jane Doe Dietary Managers) are discovered, Plaintiff shall file a motion to substitute each newly identified defendant in place of the generic designations in the case caption and throughout the Complaint.

# Pending Motion

Plaintiff's motion to consolidate his case with the pending action *Trainor v. Baldwin*, Case No. 17-cv-369-DRH-DGW (Doc. 3) is **REFERRED** to the United States Magistrate Judge for further consideration.

Plaintiff's Motion for Leave to Proceed *In Forma Pauperis* (Doc. 8) will be addressed in a separate order of the Court.

# **Disposition**

The Clerk is **DIRECTED** to designate the Unknown Party Defendants as follows: **UNKNOWN PARTY #1 (John/Jane Doe ICI Superintendents)** and **UNKNOWN PARTY #2 (John/Jane Doe Dietary Managers)**. In addition, the Clerk is **DIRECTED** to add as a Defendant the **SUPERINTENDENT of the ILLINOIS CORRECTIONAL INDUSTRIES**.

IT IS HEREBY ORDERED that COUNT 1 shall PROCEED against JOHN/JANE DOE ICI SUPERINTENDENTS; COUNT 2 shall PROCEED against BALDWIN, GODINEZ, TAYLOR, and RANDLE; and COUNT 3 shall PROCEED against MUELLER and JOHN/JANE DOE DIETARY MANAGERS.

IT IS FURTHER ORDERED that COUNT 4 is DISMISSED with prejudice

for failure to state a claim upon which relief may be granted and Defendants **WALKER** and **THULL** are **DISMISSED** from this action without prejudice.

The Clerk of Court shall prepare for Defendants **BALDWIN**, **GODINEZ**, **RANDLE**, **TAYLOR**, **MUELLER**, and the **SUPERINTENDENT** of the ILLINOIS **CORRECTIONAL INDUSTRIES**: (1) Form 5 (Notice of a Lawsuit and Request to Waive Service of a Summons), and (2) Form 6 (Waiver of Service of Summons). The Clerk is **DIRECTED** to mail these forms, a copy of the Complaint, and this Memorandum and Order to each Defendant's place of employment as identified by Plaintiff. If a Defendant fails to sign and return the Waiver of Service of Summons (Form 6) to the Clerk within 30 days from the date the forms were sent, the Clerk shall take appropriate steps to effect formal service on that Defendant, and the Court will require that Defendant to pay the full costs of formal service, to the extent authorized by the Federal Rules of Civil Procedure.

With respect to a Defendant who no longer can be found at the work address provided by Plaintiff, the employer shall furnish the Clerk with the Defendant's current work address, or, if not known, the Defendant's last-known address. This information shall be used only for sending the forms as directed above or for formally effecting service. Any documentation of the address shall be retained only by the Clerk. Address information shall not be maintained in the court file or disclosed by the Clerk.

Service shall not be made on UNKNOWN PARTY #1 (John/Jane Doe ICI Superintendents) and UNKNOWN PARTY #2 (John/Jane Doe Dietary

**Managers)** until such time as Plaintiff has identified them by name in a properly filed motion for substitution of parties. Plaintiff is **ADVISED** that it is his responsibility to provide the Court with the names and service addresses for these individuals.

Defendants are **ORDERED** to timely file an appropriate responsive pleading to the Complaint and shall not waive filing a reply pursuant to 42 U.S.C. § 1997e(g).

Pursuant to Local Rule 72.1(a)(2), this action is **REFERRED** to United States Magistrate Judge **Donald G. Wilkerson** for further pre-trial proceedings, including a plan for discovery aimed at identifying the unknown defendants with particularity. Further, this entire matter shall be **REFERRED** to the U.S. Magistrate Judge **Wilkerson** for disposition, pursuant to Local Rule 72.2(b)(2) and 28 U.S.C. § 636(c), *if all parties consent to such a referral*.

If judgment is rendered against Plaintiff, and the judgment includes the payment of costs under § 1915, Plaintiff will be required to pay the full amount of the costs, whether or not his application to proceed *in forma pauperis* has been granted. *See* 28 U.S.C. § 1915(f)(2)(A).

Finally, Plaintiff is **ADVISED** that he is under a continuing obligation to keep the Clerk of Court and each opposing party informed of any change in his address; the Court will not independently investigate his whereabouts. This shall be done in writing and not later than **7 days** after a transfer or other change in address occurs. Failure to comply with this order will cause a delay in the

transmission of court documents and may result in dismissal of this action for want of prosecution.  $See\ Fed.\ R.\ Civ.\ P.\ 41(b).$ 

IT IS SO ORDERED.

DavidRobernan

Judge Herndon

2018.06.07 10:50:15

-05'00'

**United States District Judge**